1 PAUL HASTINGS LLP JAMES A. ZAPP (SB# 94584) 2 jameszapp@paulhastings.com CAMERON W. FOX (SB# 218116) cameronfox@paulhastings.com 3 MELINDA A. GORDON (SB# 254203) 4 melindagordon@paulhastings.com 515 South Flower Street Twenty-Fifth Floor 5 Los Angeles, CA 90071-2228 Telephone: (213) 683-6000 6 Facsimile: (213) 627-0705 7 Attorneys for Defendant 8 CALIFÓRNIA INSTITUTE OF TECHNOLOGY 9 SUPERIOR COURT OF THE STATE OF CALIFORNIA COUNTY OF LOS ANGELES 10 11 DAVID COPPEDGE, an Individual, 12 Plaintiff. 13 VS. 14 JET PROPULSION LABORATORY, form unknown; CALIFORNIA INSTITUTE OF TECHNOLOGY, form 15 unknown; GREGORY CHIN, an 16 Individual; CLARK A. BURGESS, an Individual; KEVIN KLENK, an Individual; 17 and DOES 1 through 25, inclusive, 18 Defendants. 19 20 21 22 23 24 25 ORIGINAL 26 27 28

APR 10 2012

DEN AUCLARIA, CLERK RAUL SANCHEZ DEPUTY

CASE NO. BC 435600

**DEFENDANT'S OPPOSITION TO** PLAINTIFF'S MOTION IN LIMINE NO. 7 TO EXCLUDE AND STRIKE ALL REFERENCE TO EMPLOYEE PERFORMANCE RANKING SYSTEMS. FOR EVIDENTIARY SANCTIONS, OR, ALTERNATIVELY, FOR A NEW TRIAL

Place: Judge: Department 54

Hon. Ernest M. Hiroshige

LEGAL\_US\_W # 71125062.2

MEMORANDUM OF POINTS AND AUTHORITIES

#### Ĭ. INTRODUCTION

Coppedge's Motion in Limine No. 7 is nothing more than a feeble attempt to utilize an unobjectionable portion of trial testimony as grounds to obtain the new trial that Coppedge already believes he will need. The testimony in question – former Section Manager Kevin Klenk's description of departmental rankings used for compensation purposes, explanation that Coppedge ranked in the lower half, and reference to written documentation of these rankings – was never called for by any of Coppedge's discovery requests or deposition questions.

Coppedge contends that the documentation was responsive to his Request for Production No. 47, which sought "All DOCUMENTS and WRITINGS RELATING TO YOUR Twelth Affirmative defense." But Coppedge is wrong. Caltech's Twelfth Affirmative defense states:

> The Complaint, and each of its causes of action, is barred because Defendants did not engage in the alleged discrimination, harassment or retaliation set forth in the Complaint, but even assuming for the sake of argument that they did, Defendants would have taken the same employment actions in any event for legitimate, non-discriminatory, non-retaliatory, non-pretextual reasons.

Caltech objected and responded to Request No. 47, as follows:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

Declaration of Cameron W. Fox ¶ 3, Ex. A.

First, nothing about Request No. 47 suggests that it would call for production of any specific document, much less the compensation rankings in particular; indeed, the Request is vague, ambiguous, and overbroad, as Caltech points out in its objections to this Request. Second, Caltech specifically referenced in its response the documents that Caltech believed were responsive to the Request and that it therefore produced in response. Finally, the compensation

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DEFENDANT'S OPPOSITION TO PLAINTIFF'S MOTION IN LIMINE NO. 7 TO EXCLUDE AND STRIKE ALL REFERENCE TO EMPLOYEE PERFORMANCE RANKING SYSTEMS

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rankings were not among those responsive documents; while the compensation rankings corroborate the layoff rankings, they were not considered in the layoff ranking process and thus do not constitute evidence that Caltech took the actions it did (i.e. laying off Coppedge) for legitimate, non-discriminatory reasons.

In short, the evidence in question was neither requested – nor withheld – in discovery, it does not constitute surprise evidence at trial, and there is no basis for evidentiary sanctions, much less a new trial.

#### II. **ARGUMENT**

Caltech did not conceal the compensation ranking at issue in discovery, and therefore did not introduce surprise evidence at trial. Coppedge erroneously attempts to rely on inapposite case law to justify his request for evidentiary sanctions and a new trial. For example, Coppedge cites Los Angeles Airways, Inc. v. Hughes Tool Co., 96 Cal. App. 3d 1, 6 (1979) for the proposition that "Newly discovered evidence, deliberately concealed . . ." can be grounds for a new trial. But the evidence in question here was not deliberately concealed, because it was never requested. Coppedge's Request No. 47 is vague, ambiguous, and overbroad; by no means does it call for production of any specific document, much less a ranking that did not factor into either Coppedge's ECAP or the layoff rankings. Coppedge's contention that the Request "plainly encompasses" the compensation rankings (Mot. at 5) lacks foundation and is baseless; Coppedge is in no position to opine on what evidence supports Caltech's showing that it would have taken the same actions for non-discriminatory and non-retaliatory reasons. Meanwhile, Caltech's Response to Request No. 47 specifically identifies those documents being produced that were also responsive to Request No. 47 (namely, documents produced in response to Request Nos. 1 (personnel file), 3 (employment manuals), 6 (documents relating to complaints concerning Coppedge), 11 (Huntley's investigation file) and 12 (Saidiner's investigation file)). None of these Requests called for production of the compensation rankings, either.

Second, setting aside the fact that Coppedge never requested the compensation rankings, he suffered no prejudice from the fact that Caltech did not provide them in the discovery process, because they are not evidence on which Caltech contends it based any of the decisions in the case. LEGAL US W # 71125062.2

1 Rather, the compensation rankings simply are in accord with evidence that Caltech was requested 2 to (and did) produce in discovery, such as Coppedge's ECAPs and the layoff ranking sheets – not 3 to mention the trial testimony of multiple witnesses as to Coppedge's sub-par performance, and a trial exhibit showing that Coppedge earned only a minimal pay increase in 2010. Fox Decl. ¶ 4. 4 5 Ex. B. In light of this, Coppedge has no basis whatsoever for suggesting he was prejudiced, or 6 that he was somehow "prevented" from identifying additional witnesses or developing different 7 cross-examination strategies. Mot. at 4. 8 Finally, because Caltech did nothing wrong, there is no basis for either evidentiary sanctions or a new trial. Coppedge cites additional case law to support exclusion of evidence 10 withheld from discovery (see, e.g., Pate v. Channel Lumber Co., 51 Cal. App. 4th 1447 (1997)) 11 but it is to no avail; Caltech did not withhold anything, so there is nothing to exclude on this 12 basis. III. **CONCLUSION** 13 14 For the foregoing reasons, Caltech respectfully requests that the Court deny Coppedge's 15 Motion in Limine to exclude and strike all reference to employee performance ranking systems, 16 for evidentiary sanctions, or, alternatively, for a new trial. 17 18 DATED: April 10, 2012 PAUL HASTINGS LLP 19 JAMES A. ZAPP CAMERON W. FOX 20 MELINDA A GORDON 21

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Attorneys for Defendant CALIFORNIA INSTITUTE OF TECHNOLOGY

MERON W. FOX

<sup>&</sup>lt;sup>1</sup> Coppedge received a 1.09% salary increase at the end of September 2010, but has never alleged that the amount of this pay increase was discriminatory or retaliatory.

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courts of the State of California. I am an associate with the law firm of Paul Hastings LLP ("Paul Hastings"), counsel of record for the California Institute of Technology ("Caltech") in this action. I have personal knowledge of the facts contained in this Declaration, or know of such facts by my review of the files maintained by Paul Hastings in the normal course of its business, and if called

I am an attorney at law duly admitted to practice before this Court and all of the

as a witness, could and would testify as to their accuracy.

I, Cameron W. Fox, declare:

2. This Declaration is submitted in support of Defendant's Opposition to Plaintiff's Motion In Limine No. 7 To Exclude And Strike All Reference To Employee Performance Ranking Systems For Evidentiary Sanctions, Or, Alternatively, For A New Trial ("Motion")

3. Attached hereto as **Exhibit A** is a true and correct copy of Caltech's Objections and Responses to Coppedge's September 17, 20120 Request for Production of Documents.

4. Attached hereto as **Exhibit B** is a true and correct copy of Trial Exhibit 174, Employee Progression History Report dated January 18, 2011.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed this 10th day of April, 2012, at Los Angeles, California.

1	PROOF OF SERVICE			
2	STATE OF CALIFORNIA )			
3	) ss: CITY OF LOS ANGELES AND COUNTY OF LOS ) ANGELES )			
4	ANGELES			
5	I am employed in the City of Los Angeles and County of Los Angeles, State of California. I am over the age of 18, and not a party to the within action. My business address is			
6	as follows: 515 So. Flower Street, 25th Floor, Los Angeles, CA 90071.			
7	On April 10, 2012, I served the foregoing document(s) described as:			
8	DEFENDANT'S OPPOSITION TO PLAINTIFF'S MOTION IN LIMINE NO. 7 TO EXCLUDE AND STRIKE ALL REFERENCE TO EMPLOYEE PERFORMANCE			
9	RANKING SYSTEMS, FOR EVIDENTIARY SANCTIONS, OR, ALTERNATIVELY, FOR A NEW TRIAL			
10	on the interested parties as follows:			
11	William J. Becker, Jr., Esq.  Attorney for Plaintiff			
12	THE BECKER LAW FIRM  Dept. 54  Dept. 54			
13	111 N. Hill Street Los Angeles, California 90012			
14				
15	☑ VIA PERSONAL DELIVERY:			
16 17	I personally delivered such sealed envelope(s) by hand to the offices of the addressee(s) pursuant to CCP § 1011.			
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PROOF OF SERVICE

4/10/12

EXA

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1	PAUL, HASTINGS, JANOFSKY & WALKER LLP JAMES A. ZAPP (SB# 94584)				
2	CAMERON W. FOX (SB# 218116) 515 South Flower Street				
3	Twenty-Fifth Floor Los Angeles, CA 90071-2228 Telephone: (213) 683-6000 Facsimile: (213) 627-0705  Attorneys for Defendants CALIFORNIA INSTITUTE OF TECHNOLOGY, GREGORY CHIN, CLARK A. BURGESS, KEVIN KLENK				
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8	SUPERIOR	COURT OF	THE STATE OF CALIFORNIA		
9			GELES – CENTRAL DISTRICT		
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12	DAVID COPPEDGE,		CASE NO. BC 435600		
13	Plaintiff,		DEFENDANT CALIFORNIA INSTITUTE OF TECHNOLOGY'S OBJECTIONS AND		
14	vs.		RESPONSES TO PLAINTIFF'S DEMAND FOR INSPECTION AND COPYING OF		
15	JET PROPULSION LABORA	ATORY.	DOCUMENTS, TANGIBLE THINGS AND ELECTRONICALLY STORED		
16	CALIFORNIA INSTITUTE ( TECHNOLOGY, et al.,	OF	INFORMATION (SET ONE)		
17					
18	Defendant.				
19	~~ ~~ ~~ ~~ ~~ ~~ ~~ ~~ ~~ ~~ ~~ ~~ ~~	77 4 T 1074			
20	PROPOUNDING PARTY:		F DAVID COPPEDGE		
21	RESPONDING PARTY:		ANT CALIFORNIA INSTITUTE OF		
22		TECHNOI	LOGY		
23	SET NO.:	ONE			
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0/10	DEFENDANT CALTECH'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S DOCUMENT DEMAND				
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TO PLAINTIFF DAVID COPPEDGE, AND TO HIS ATTORNEY OF RECORD, WILLIAM J. BECKER, JR., ESQ., THE BECKER LAW FIRM:

Defendant CALIFORNIA INSTITUTE OF TECHNOLOGY ("Defendant") hereby answers, objects, and otherwise responds to Plaintiff's First Demand for Inspection and Copying of Documents, Tangible Things and Electronically Stored Information as follows:

#### **DEFENDANT'S PRELIMINARY STATEMENT**

Defendant has not completed its investigation relating to this action, has ١. not completed discovery in this action, and has not completed preparation for trial. As discovery proceeds, facts, information, evidence, documents and things may be discovered that are not set forth in these responses, but which may have been responsive to Plaintiff's Request. The following responses are based on Defendant's knowledge, information and belief at this time and are complete as to Defendant's best knowledge at this time. Defendant assumes no obligation to voluntarily supplement or amend these responses to reflect information, evidence, documents or things discovered following service of these responses. Furthermore, these responses were prepared based on Defendant's good faith interpretation and understanding of the individual Requests and are subject to correction for inadvertent errors or omissions, if any. These responses are given without prejudice to subsequent revision or supplementation based upon any information, evidence and documentation that hereinafter may be discovered. Defendant reserves the right to refer to, to conduct discovery with reference to, or to offer into evidence at the time of trial, any and all facts, evidence, documents and things developed during the course of discovery and trial preparation, notwithstanding the reference to facts, evidence, documents and things in these responses.

2. To the extent that any Request seeks documents that are also sought by or identified pursuant to any other Request, Defendant declines to produce or identify multiple LEGAL\_US\_W # 65809007.1

1	copies of such documents, and states that each document produced or identified pursuant to any			
2	Request is also produced pursuant to every other Request to which it is or may be responsive.			
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4	3. To the extent that any Request seeks documents that have already been			
5	produced, or which have been identified as exhibits to any depositions in this action, Defendant			
6	declines to produce or identify such documents.			
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8	4. To the extent that any Request seeks documents that are protected by the			
9	attorney-client privilege and/or attorney work product doctrine, or any other applicable privilege			
10	or immunity, Defendant declines to produce such documents, which would include and would not			
11	be limited to:			
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13	(a) All documents that constitute or record correspondence or other			
14	communications between counsel for Defendant or its agents or employees and Defendant or its			
15	agents or employees regarding this action;			
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17	(b) All documents prepared for use in this litigation including notes,			
18	memoranda, draft pleadings and correspondence prepared by, at the direction of, or for review by			
19	counsel for Defendant; and			
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21	(c) All documents that constitute or record correspondence or other			
22	communications between Defendant and counsel for Defendant regarding this action.			
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	DEFENDANT CALTECH'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S DOCUMENT DEMAND			

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**DEFENDANT'S GENERAL OBJECTIONS TO PLAINTIFF'S** 

REQUEST FOR PRODUCTION OF DOCUMENTS

"YOUR" as vague, ambiguous, overbroad, and not reasonably tailored to lead to the discovery of

seeks documents that are not relevant to the subject matter of this litigation and are not reasonably

calls for the production of documents that are protected from disclosure by the attorney-client

privilege and/or work product doctrine, and/or any other applicable privilege or immunity.

overly broad and requires Defendant to make an unreasonable and unduly burdensome

seeks documents, the disclosure of which would constitute an unwarranted invasion of the

affected persons' constitutional, statutory and/or common-law rights of privacy and

created or relating to events prior to the date on which Defendant hired Plaintiff.

calculated to lead to the discovery of admissible evidence.

Defendant objects to Plaintiff's definition of the terms "YOU" and

Defendant objects to Plaintiff's Requests to the extent they seek documents

Defendant objects to Plaintiff's Request in its entirety to the extent that it

Defendant objects to Plaintiff's Request in its entirety to the extent that it

Defendant objects to Plaintiff's Request in its entirety to the extent that it is

Defendant objects to Plaintiff's Request in its entirety to the extent that it

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1	7. Defendant objects to this Request in its entirety to the extent that it seeks
2	private, privileged, or confidential commercial, financial, and/or proprietary business information
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4	All General Objections are incorporated by reference into each Response as
5.	though set forth in full therein.
6	
7	<b>DEFENDANT'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S</b>
8	REQUEST FOR PRODUCTION OF DOCUMENTS
9	
0	DOCUMENT REQUEST NO. 1:
11	All DOCUMENTS and WRITINGS contained in PLAINTIFF's personnel file.
12	OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 1:
13	Subject to and without in any way waiving its general objections, and to the exten
14	it understands this Request, Defendant hereby produces Plaintiff's Section file, which is Bates-
15	stamped D00000001-59.
16	
17	DOCUMENT REQUEST NO. 2:
18	All DOCUMENTS and WRITINGS that YOU provided to PLAINTIFF
19	RELATING TO the following matters: status of employment, rights, duties, compensation, and
20	benefits.
21	OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 2:
22	Defendant objects to this Request on the grounds that it is vague and ambiguous a
23	to "status of employment," "rights," and "duties." Defendant further objects to this Request on
24	the grounds that it is overly broad as to time and scope.
25	Subject to and without in any way waiving the foregoing objections, and to the
26	extent it understands this Request, Defendant responds that it is hereby producing Plaintiff's
27	Section file in response to Request No. 1.
28	

DEFENDANT CALTECH'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S DOCUMENT DEMAND

#### **DOCUMENT REQUEST NO. 3:**

© All employment manuals, and all updates, that YOU provided to PLAINTIFF since 2008.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 3:**

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "employment manuals" and "updates." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive. Defendant further objects to this Request on the grounds that it seeks information that is not relevant to the subject matter of this litigation and is not reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant hereby produces its Discipline Policy, Unlawful Harassment Policy, Nondiscrimination and Equal Employment Opportunity Policy, and Ethics and Business Conduct Policy, Problem Resolution Policy, as well as its Ethics Handbook.

16 DOCUMENT REQUEST NO. 4:

All DOCUMENTS and WRITINGS RELATING TO YOUR practices and policies for hiring and/or firing of employees since 2008.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 4:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "practices and policies for hiring and/or firing." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive. Defendant further objects to this Request on the grounds that it seeks information that is not relevant to the subject matter of this litigation and is not reasonably calculated to lead to the discovery of admissible evidence.

Based upon the foregoing objections, and to the extent it understands this Request,

Defendant not will produce documents in response to this Request.

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#### **DOCUMENT REQUEST NO. 5:**

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All DOCUMENTS and WRITINGS RELATING TO YOUR practices and policies for handling disciplinary matters with employees since 2008.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 5:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "practices and policies for handling disciplinary matters with employees." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that it is already producing its Discipline Policy in response to Request No. 3.

#### **DOCUMENT REQUEST NO. 6:**

All DOCUMENTS and WRITINGS RELATING TO any formal or informal complaints, civil or administrative complaints, inquiries, and/or comments from any of YOUR employees, or third party, concerning PLAINTIFF.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 6:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "civil or administrative complaints," "inquiries," and "comments." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in responsive to Request Nos. 11 and 12 are responsive to this Request. Defendant further responds that Plaintiff's notebooks, which Plaintiff produced to Defendant in the course of this litigation, are also responsive to this Request. In addition, Defendant hereby produces documents Bates-stamped-D000000213-219, which are email communications regarding Plaintiff's work performance (including his communications and interactions with customers).

#### **DOCUMENT REQUEST NO. 7:**

All DOCUMENTS and WRITINGS RELATING TO any formal or informal complaints, civil or administrative complaints, inquiries, and/or comments from any of YOUR employees, or third party, concerning PLAINTIFF's interest in intelligent design.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 7:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "civil or administrative complaints," "inquiries," "comments," and "PLAINTIFF's interest in intelligent design." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request No. 6 are responsive to this Request.

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#### **DOCUMENT REQUEST NO. 8:**

All DOCUMENTS and WRITINGS RELATING TO any formal or informal complaints, civil or administrative complaints, inquiries, and/or comments from any of YOUR employees, or third party, concerning PLAINTIFF's interest in religion.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 8:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "civil or administrative complaints," "inquiries," "comments," and "PLAINTIFF's interest in religion." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to --Request No. 6 are responsive to this Request.

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### DOCUMENT REQUEST NO. 9:

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All DOCUMENTS and WRITINGS RELATING TO any formal or informal complaints, civil or administrative complaints, inquiries, and/or comments from any of YOUR employees, or third party, concerning PLAINTIFF's interest in political issues.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 9:**

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "civil or administrative complaints," "inquiries," "comments," and "PLAINTIFF's interest in political issues." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request No. 6 are responsive to this Request.

#### DOCUMENT REQUEST NO. 10:

All DOCUMENTS and WRITINGS RELATING TO any formal or informal complaints, civil or administrative complaints, inquiries, and/or comments from any of YOUR employees, or third party, concerning Darwin's theory of evolution.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 10:**

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "civil or administrative complaints," "inquiries," and "comments." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope, particularly given that the Request does not relate in any way to Plaintiff. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive. Defendant further objects to this Request on the grounds that it seeks information that is not relevant to the subject matter of this litigation and is not reasonably calculated to lead to the discovery of admissible evidence.

Based upon the foregoing objections, and to the extent it understands this Request, Defendant responds that it will not produce documents in response to this Request. LEGAL\_US\_W # 65809007.1

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1	DOCUMENT REQUEST NO. 11:
2	All DOCUMENTS and WRITINGS constituting the record of an investigation
3	conducted in 2009 by Jhertaune Huntley concerning PLAINTIFF.
4	OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 11:
5	Subject to and without in any way waiving its general objections, and to the extent
6	it understands this Request, Defendant hereby produces documents Bates-stamped D000000060-
7	109, which constitutes the Employee Relations file maintained by Jhertaune Huntley relating to
8	Defendant's investigation of Plaintiff in Spring 2009.
9	
0	DOCUMENT REQUEST NO. 12:
1	All DOCUMENTS and WRITINGS constituting the record of an investigation(s)
12	conducted in 2009 by Karen Saidiner and/or the Employee Relations Office concerning
13	PLAINTIFF.
14	OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 12:
15	Subject to and without in any way waiving its general objections, and to the extent
16	it understands this Request, Defendant hereby produces documents Bates-stamped D000000110-
17	137, which constitutes the Employee Relations file maintained by Karen Saidiner relating to
18	Defendant's investigation of Plaintiff in Spring 2009.
19	
20	DOCUMENT REQUEST NO. 13:
21	All DOCUMENTS and WRITINGS constituting the record of all investigations
22	conducted by YOU in 2009 and 2010 concerning PLAINTIFF.
23	OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 13:
24	Defendant objects to this Request on the grounds that it is vague and ambiguous a
25	to "all investigations."
26-	Subject to and without in any way waiving its general objections, and to the exten
27	it understands this Request, Defendant responds that documents produced in response to Request
28	Nos. 11 and 12 are responsive to this Request.
	B   ECAL 110 W # 46000001

DEFENDANT CALTECH'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S DOCUMENT DEMAND

#### **DOCUMENT REQUEST NO. 14:**

All DOCUMENTS and WRITINGS constituting reports prepared concerning allegations that PLAINTIFF was engaged in harassing co-workers in 2009.

## **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 14:**

Subject to and without in any way waiving its general objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 11 and 12 are responsive to this Request.

### **DOCUMENT REQUEST NO. 15:**

All DOCUMENTS and WRITINGS RELATING TO the administrative protocol or procedures conducted in response to complaints or comments by YOUR employees concerning PLAINTIFF.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 15:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "the administrative protocol or procedures conducted." Defendant further objects that this Request is unintelligible as written. Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive. Defendant further objects to this Request on the grounds that it seeks information that is not relevant to the subject matter of this litigation and is not reasonably calculated to lead to the discovery of admissible evidence.

Based upon its foregoing objections, Defendant cannot respond to this Request as drafted.

#### **DOCUMENT REQUEST NO. 16:**

All DOCUMENTS and WRITINGS RELATING TO CHIN'S response to, reaction to-or-impressions concerning PLAINTIFF's interest in-religion.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 16:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "response," "reaction," "impressions concerning," and "PLAINTIFF's interest in religion." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request as applying to the fact of Plaintiff's stated interest in religion, Defendant responds that it has not located any documents responsive to this Request.

**DOCUMENT REQUEST NO. 17:** 

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All DOCUMENTS and WRITINGS RELATING TO CHIN'S response to, reaction to or impressions concerning PLAINTIFF's interest in politics.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 17:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "response," "reaction," "impressions concerning," and "PLAINTIFF's interest in politics." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request as applying to the fact of Plaintiff's stated interest in politics, Defendant responds that it has not located any documents responsive to this Request.

**DOCUMENT REQUEST NO. 18:** 

All DOCUMENTS and WRITINGS RELATING TO CHIN'S response to,
reaction to or impressions concerning PLAINTIFF's interest in intelligent design.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 18:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "response," "reaction," "impressions concerning," and "PLAINTIFF's interest in intelligent design." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request as applying to the fact of Plaintiff's stated interest in intelligent design, Defendant responds that it has not located any documents responsive to this Request.

DOCUMENT REQUEST NO. 19:

All DOCUMENTS and WRITINGS RELATING TO removing PLAINTIFF as Cassini Systems Administration Team Lead.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 19:

Subject to and without in any way waiving its general objections, and to the extent it understands this Request as requesting documents reflecting the reasons for Defendant's decision that Plaintiff would no longer perform lead activities for the systems administrators group, Defendant responds that is hereby producing non-privileged documents that are responsive to this Request.

DOCUMENT REQUEST NO. 20:

All DOCUMENTS and WRITINGS RELATING TO demoting PLAINTIFF.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 20:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "demoting." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope.

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Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that Plaintiff was not demoted and therefore no such documents exist.

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#### **DOCUMENT REQUEST NO. 21:**

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All DOCUMENTS and WRITINGS RELATING TO terminating PLAINTIFF. OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 21:

Defendant objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive. Defendant further objects to this Request on the grounds that it seeks information that is not relevant to the subject matter of this litigation and is not reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that it has not located any documents responsive to this Request.

**DOCUMENT REQUEST NO. 22:** 

All DOCUMENTS and WRITINGS identifying YOUR Unlawful Harassment Policy between 2008 and 2010.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 22:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "identifying." Defendant further objects to this Request on the grounds that it is overly broad as to scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive. Defendant further objects to this Request to the extent it seeks information that is not relevant to the subject matter of this litigation and is not reasonably calculated to-lead to the discovery of admissible evidence. ---

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant hereby produces its Unlawful Harassment Policy. LEGAL\_US\_W # 65809007.1 -13-

#### **DOCUMENT REQUEST NO. 23:**

All DOCUMENTS and WRITINGS identifying YOUR Ethics and Business Conduct Policy between 2008 and 2010.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 23:**

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "identifying." Defendant further objects to this Request to the extent it is overly broad as to scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive. Defendant further objects to this Request on the grounds that it seeks information that is not relevant to the subject matter of this litigation and is not reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant hereby produces its Ethics and Business Conduct Policy.

15 DOCUMENT REQUEST NO. 24:

All DOCUMENTS and WRITINGS identifying YOUR discrimination policy between 2008 and 2010.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 24:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "identifying." Defendant further objects to this Request to the extent it is overly broad as to scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive. Defendant further objects to this Request on the grounds that it seeks information that is not relevant to the subject matter of this litigation and is not reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without in any way waiving the foregoing objections, and to the extent-it understands this Request, Defendant hereby produced-its-Nondiscrimination and EqualEmployment Opportunity Policy.

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#### **DOCUMENT REQUEST NO. 25:**

All DOCUMENTS and WRITINGS identifying YOUR diversity training policy between 2008 and 2010.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 25:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "identifying" and "diversity training policy." Defendant further objects to this Request on the grounds that it is overly broad as scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive. Defendant further objects to this Request to the extent it seeks information that is not relevant to the subject matter of this litigation and is not reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that no such written policy exists.

#### **DOCUMENT REQUEST NO. 26:**

The "short note" given to Human Resources by CHIN stating that there were allegations made against PLAINTIFF of a hostile work environment.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 26:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "short note."

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that no responsive documents have been located.

#### **DOCUMENT REQUEST NO. 27:**

DEFENDANT CALTECH'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S DOCUMENT DEMAND

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failed to comply with the statutory prerequisites to the bringing of this action, pursuant to the 1 FEHA, California Government Code section 12900 et seq. 2 OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 27: 3 Subject to and without in any way waiving its general objections, and to the extent 4 it understands this Request, Defendant refers Plaintiff to his production of documents relating to 5 the charges he filed with the Department of Fair Employment and Housing. 6 7 **DOCUMENT REQUEST NO. 28:** 8 All DOCUMENTS and WRITINGS supporting the contention, as stated the 9 Eighth Affirmative Defense to your Answer to the First Amended Complaint, that The 10 Complaint, and each of its causes of action, is barred because Defendants are unable to 11 reasonably accommodate PLAINTIFF's alleged religious beliefs and/or practices without undue 12 hardship. 13 **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 28:** 14 Subject to and without in any way waiving the foregoing objections, and to the 15 extent it understands this Request, Defendant responds that it has not located documents 16 responsive to this Request. Defendant's investigation is continuing. 17 18 19 **DOCUMENT REQUEST NO. 29:** All DOCUMENTS and WRITINGS supporting the contention, as stated the Tenth 20 Affirmative Defense to YOUR Answer to the First Amended Complaint, that the Complaint, and 21 each of its Causes of Action, is barred because PLAINTIFF did not satisfy and/or breached his 22 statutory obligations as provided in the California Labor Code including, but not limited to, 23 sections 2854 and 2856-2859. 24 OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 29: 25 Subject to and without in any way-waiving the foregoing objections, and to the 26 extent it understands this Request, Defendant responds that documents produced in response to 27 Request No. 1 are responsive to this Request. LEGAL US W # 65809007.1

egal\_us\_w # 65809007.1 -16DEFENDANT CALTECH'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S DOCUMENT DEMAND

#### **DOCUMENT REQUEST NO. 30:**

All e-mail messages between and/or among CHIN, BURGESS, KLENK, Jhertaune Huntley and/or Karen Saidiner concerning allegations of harassment against PLAINTIFF.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 30:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "allegations of harassment." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant is hereby producing non-privileged documents responsive to this Request.

#### DOCUMENT REQUEST NO. 31:

All DOCUMENTS and WRITINGS supporting YOUR contention that PLAINTIFF's actions were "unwelcome and disruptive."

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 31:

Defendant objects to this Request on the grounds that it is vague and ambiguous as to "actions." Defendant further objects to this Request on the grounds that it is overly broad as to time and scope. Defendant further objects to this Request on the grounds that it is unduly burdensome and oppressive.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 6, 11, and 12 are responsive to this Request.

### DOCUMENT REQUEST NO. 32:

All DOCUMENTS and WRITINGS supporting YOUR contention that PLAINTIFF violated YOUR harassment policy.

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2	Subject to and without in any way waiving the foregoing objections, and to the
3	extent it understands this Request, Defendant responds that documents produced in response to
4	Request Nos. 3, 6, 11 and 12 are responsive to this Request.
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6	DOCUMENT REQUEST NO. 33:
7	All DOCUMENTS and WRITINGS supporting YOUR contention that
8	PLAINTIFF violated YOUR ethics policy.
9	OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 33:
0	Subject to and without in any way waiving the foregoing objections, and to the
1	extent it understands this Request, Defendant responds that documents produced in response to
2	Request Nos. 3, 6, 11, and 12 are responsive to this Request.
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4	DOCUMENT REQUEST NO. 34:
5	All DOCUMENTS and WRITINGS supporting YOUR contention that
16	PLAINTIFF violated YOUR discrimination policy.
17	OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 34:
18	Subject to and without in any way waiving its general objections, and to the exten
19	it understands this Request, Defendant responds that no such documents exist.
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21	DOCUMENT REQUEST NO. 35:
22	All DOCUMENTS and WRITINGS RELATING TO YOUR contention that
23	PLAINTIFF continued to discuss politics or religion with his co-workers while in the office after
24	being instructed not to do so.
25	OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 35:
26	Subject to and without in any way waiving the foregoing objections, and to the
27	extent it understands this Request, Defendant responds that documents produced in response to
28	Request Nos. 6, 11 and 12 are responsive to this Request.
	LEGAL_US_W # 65809007.1 -18-  DEFENDANT CALTECH'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S DOCUMENT DEMAND

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**DOCUMENT REQUEST NO. 36:** 

All DOCUMENTS and WRITINGS RELATING TO YOUR First Affirmative

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 36:**

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad in that it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Based upon the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 3, 6, 11 and 12 are responsive to this Request.

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#### **DOCUMENT REQUEST NO. 37:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Second Affirmative defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 37:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad in that it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Based upon the foregoing objections, and to the extent it understands this Request, Defendant responds that it cannot respond to this Request as phrased.

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#### **DOCUMENT REQUEST NO. 38:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Third Affirmative defense.

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#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 38:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

10 DOCUMENT REQUEST NO. 39:

All DOCUMENTS and WRITINGS RELATING TO YOUR Fourth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 39:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

#### **DOCUMENT REQUEST NO. 40:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Fifth Affirmative defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 40:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further

objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

**DOCUMENT REQUEST NO. 41:** 

All DOCUMENTS and WRITINGS RELATING TO YOUR Sixth Affirmative defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 41:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1 and 6 are responsive to this Request.

**DOCUMENT REQUEST NO. 42:** 

All DOCUMENTS and WRITINGS RELATING TO YOUR Seventh Affirmative Defense.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 42:**

Defendant objects to this Request on the grounds that it is duplicative of Request No. 27. Subject to and without in any way waiving its general objections, and to the extent it understands this Request, Defendant refers Plaintiff to his Production of Documents relating to the charges he filed with the Department of Fair Employment and Housing.

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## **DOCUMENT REQUEST NO. 43:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Eighth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 43:

Defendant objects to this Request on the grounds that it is duplicative of Request No. 28. Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that it has not located documents responsive to this Request. Defendant's investigation is continuing.

#### **DOCUMENT REQUEST NO. 44:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Ninth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 44:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

#### **DOCUMENT REQUEST NO. 45:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Tenth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 45:

Defendant objects to this Request on the grounds that it is duplicative of Request No. 29: Subject to and without in any way waiving the foregoing objections, and to the extent it

understands this Request, Defendant responds that documents produced in response to Request 1 No. 1, 3, 6, 11 and 12 are responsive to this Request. 2 3 **DOCUMENT REQUEST NO. 46:** 4 All DOCUMENTS and WRITINGS RELATING TO YOUR Eleventh Affirmative 5 Defense. 6 OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 46: 7 Defendant objects to this Request on the grounds that it is vague, ambiguous, and 8 9 overbroad to the extent it seeks documents to support a legal contention. Defendant further 10 objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine. 11 Subject to and without in any way waiving the foregoing objections, and to the 12 extent it understands this Request, Defendant responds that documents produced in response to 13 Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request. 14 15 **DOCUMENT REQUEST NO. 47:** 16 All DOCUMENTS and WRITINGS RELATING TO YOUR Twelfth Affirmative 17 Defense. 18 OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 47: 19 Defendant objects to this Request on the grounds that it is vague, ambiguous, and 20 overbroad to the extent it seeks documents to support a legal contention. Defendant further 21 objects to this Request to the extent that it seeks information protected by the attorney-client 22 privilege and/or attorney work product doctrine. 23 Subject to and without in any way waiving the foregoing objections, and to the 24 extent it understands this Request, Defendant responds that documents produced in response to 25 Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request. 26 27

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#### **DOCUMENT REQUEST NO. 48:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Thirteenth Affirmative Defense.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 48:**

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

#### **DOCUMENT REQUEST NO. 49:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Fourteenth Affirmative Defense.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 49:**

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

#### **DOCUMENT REQUEST NO. 50:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Fifteenth Affirmative Defense.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 50:**

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

### **DOCUMENT REQUEST NO. 51:**

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All DOCUMENTS and WRITINGS RELATING TO YOUR Sixteenth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 51:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request No. 1 are responsive to this Request.

#### **DOCUMENT REQUEST NO. 52:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Seventeenth Affirmative Defense.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 52:**

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further

objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

### **DOCUMENT REQUEST NO. 53:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Eighteenth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 53:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad in that it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Based upon the foregoing objections, and to the extent it understands this Request,

Defendant responds that it cannot respond to this Request as phrased.

#### **DOCUMENT REQUEST NO. 54:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Nineteenth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 54:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that this information is within Plaintiff's possession, custody, and control. Discovery is ongoing. **DOCUMENT REQUEST NO. 55:** 5 All DOCUMENTS and WRITINGS RELATING TO YOUR Twentieth 6 Affirmative Defense. 7 OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 55: 8 9 Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad in that it seeks documents to support a legal contention. Defendant further objects to 10 this Request to the extent that it seeks information protected by the attorney-client privilege 11 and/or attorney work product doctrine. 12 Based upon the foregoing objections, and to the extent it understands this Request, 13 Defendant responds that it cannot respond to this Request as phrased. 14 15 **DOCUMENT REQUEST NO. 56:** 16 All DOCUMENTS and WRITINGS RELATING TO YOUR Twenty-First 17 Affirmative Defense. 18 OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 56: 19 Defendant objects to this Request on the grounds that it is vague, ambiguous, and 20 overbroad in that it seeks documents to support a legal contention." Defendant further objects to 21 this Request to the extent that it seeks information protected by the attorney-client privilege 22 and/or attorney work product doctrine. 23 Based upon the foregoing objections, and to the extent it understands this Request, 24 Defendant responds that it cannot respond to this Request as phrased. 25 26 27 LEGAL\_US\_W # 65809007.1 -27-

DEFENDANT CALTECH'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S DOCUMENT DEMAND

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# 1 2 Affirmative Defense. 3 4 5 6 7 8 9 10 11 **DOCUMENT REQUEST NO. 58:** 12 13

**DOCUMENT REQUEST NO. 57:** 

All DOCUMENTS and WRITINGS RELATING TO YOUR Twenty-Second

### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 57:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad in that it seeks documents to support a legal contention." Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Based upon the foregoing objections, and to the extent it understands this Request, Defendant responds that it cannot respond to this Request as phrased.

All DOCUMENTS and WRITINGS RELATING TO YOUR Twenty-Third Affirmative Defense.

### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 58:**

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad in that it seeks documents to support a legal contention." Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Based upon the foregoing objections, and to the extent it understands this Request, Defendant responds that it cannot respond to this Request as phrased.

**DOCUMENT REQUEST NO. 59:** 

All DOCUMENTS and WRITINGS RELATING TO YOUR Twenty-Fourth Affirmative Defense.

#### **OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 59:**

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further LEGAL\_US\_W # 65809007.1

DEFENDANT CALTECH'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S DOCUMENT DEMAND

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objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 6, 11 and 12 are responsive to this Request.

#### **DOCUMENT REQUEST NO. 60:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Twenty-Fifth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 60:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad in that it seeks documents to support a legal contention." Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Based upon the foregoing objections, and to the extent it understands this Request,

Defendant responds that it cannot respond to this Request as phrased.

#### **DOCUMENT REQUEST NO. 61:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Twenty-Sixth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 61:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad in that it seeks documents to support a legal contention." Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Based upon the foregoing objections, and to the extent it understands this Request,

Defendant responds that it cannot respond to this Request as phrased.

#### **DOCUMENT REQUEST NO. 62:**

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All DOCUMENTS and WRITINGS RELATING TO YOUR Twenty-Seventh Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 62:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

#### **DOCUMENT REQUEST NO. 63:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Twenty-Eighth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 63:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

#### **DOCUMENT REQUEST NO. 64:**

All DOCUMENTS and WRITINGS RELATING TO YOUR Twenty-Ninth Affirmative Defense.

#### OBJECTIONS AND RESPONSE TO DOCUMENT REQUEST NO. 64:

Defendant objects to this Request on the grounds that it is vague, ambiguous, and overbroad to the extent it seeks documents to support a legal contention. Defendant further objects to this Request to the extent that it seeks information protected by the attorney-client privilege and/or attorney work product doctrine.

Subject to and without in any way waiving the foregoing objections, and to the extent it understands this Request, Defendant responds that documents produced in response to Request Nos. 1, 3, 6, 11 and 12 are responsive to this Request.

DATED: October 27, 2010

PAUL, HASTINGS, JANOFSKY & WALKER LLP JAMES A. ZAPP CAMERON W. FOX

By: CAMERON W. FOX

Attorneys for Defendants CALIFORNIA INSTITUTE OF TECHNOLOGY, GREGORY CHIN, CLARK A. BURGESS, KEVIN KLENK

(AS TO OBJECTIONS ONLY)

1 PROOF OF SERVICE STATE OF CALIFORNIA 2 CITY OF LOS ANGELES AND COUNTY OF 3 LOS ANGELES 4 I am employed in the City of Los Angeles and County of Los Angeles, State 5 of California. I am over the age of 18, and not a party to the within action. My business address is as follows: 515 So. Flower Street, 25th Floor, Los Angeles, CA 90071. 6 On October 27, 2010, I served the foregoing document(s) described as: 7 DEFENDANT CALIFORNIA INSTITUTE OF TECHNOLOGY'S OBJECTIONS 8 AND RESPONSES TO PLAINTIFF'S DEMAND FOR INSPECTION AND COPYING OF DOCUMENTS, TANGIBLE THINGS AND ELECTRONICALLY STORED Q INFORMATION (SET ONE) 10 on the interested parties by placing a true and correct copy thereof in a sealed envelope(s) addressed as follows: 11 William J. Becker, Jr., Esq. Attorney for Plaintiff 12 THE BECKER LAW FIRM DAVID COPPEDGE 11500 Olympic Blvd, Suite 400 13 Los Angeles, CA 90064 14 15 VIA U.S. MAIL: 16 × I am readily familiar with the firm's practice of collection and processing of 17 correspondence for mailing. Under that practice such sealed envelope(s) would be deposited with the U.S. postal service on October 27, 2010 with postage thereon fully 18 prepaid, at Los Angeles, California. 19 I declare under penalty of perjury under the laws of the State of California that the above is true and correct and was executed on October 27, 2010, at Los Angeles, 20 California. 21 Auto Web. 22 Christine Wilson Type or Print Name 23 24 25 26 27

4/10/12

EXB

\*\*\* JPL DISCREET \*\*\*

Employee Progression History Report

Employee #: 118373 Employee Name: COPPEDGE, DAVID F

Organization: 173A - COMPUTER SYSTEMS ADMINISTRATION & ENGINEERING Job Info: X254 / SW Cmptg Sys / Leyel 4

Suparvisor: RICHARD VAN WHY, Mgr - Acting

01/27/2003 Lateret Hire-Dates

Major Astrophysics

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Office Location: 230-207C

Citizenehip: Early Career Hire: Termination Date:

Gender / Ethnicity: M / Caucasian

DOB: 09/21/1951

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EX.B

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Employee Progression History Report ford 18373